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Docket 82917WFN  
Customer No. 01333

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Tiecheng A. Qiao, et al

**RANDOM ARRAY OF MICRO-  
SPHERES FOR THE ANALYSIS  
OF NUCLEIC ACIDS**

Serial No. 10/036,828

Filed December 21, 2001

Commissioner for Patents  
Washington, D.C. 20231

Group Art Unit: TBD

Examiner: TBD

I hereby certify that this correspondence is being  
deposited today with the United States Postal Service  
as first class mail in an envelope addressed to  
Commissioner for Patents, Washington, D.C. 20231.

*Laurie A. Wurtz*  
Laurie A. Wurtz  
March 21, 2002  
Date

Sir:

**INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION**  
**BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99**

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. Unless otherwise indicated, a full text copy of each document is attached. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is

III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

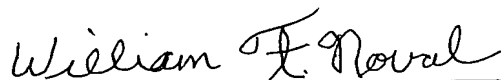
☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



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Enclosures

FORM PTO-1449

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

MAR 25 2002

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Applicant:

Tiecheng A. Qiao, et al

If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee

## LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Filing Date

December 21, 2001

Group

To Be Assigned

## U.S. PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,412,087	May 2, 1995				Apr. 24, 1992
	5,489,678	Feb. 6, 1996				Feb. 16, 1995
	5,981,180	Nov. 9, 1999				Oct. 11, 1995
	6,023,540	Feb. 8, 2000				Mar. 14, 1997
	6,094,966	Aug. 1, 2000				Apr. 30, 1999
	6,266,459	Jul. 24, 2001				Nov. 29, 1999

## FOREIGN PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO
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## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	Science, 251, pp. 767-773, 1991
	Nature Biotech, Vol. 19, pp. 631-635, 2001
	P.I. Rose, Theory of the Photographic Process, 4th edition, T.H. James ed., pp. 51-67 /
	Secundrum Artem, Vol. 4, No. 5, Lloyd V. Allen /
	Edward Cohen and Edgar B. Gutoff, Chapter 1, Modern Coating and Drying Technology, Interfacial Engineering Series, Vol. 1, 1992, VCH Publishers Inc., New York, NY /
	I. Piirma, Emulsion Polymerization, Academic Press, New York, NY, 1982 /
	T.H. Whitesides and D.S. Ross, J. Colloid Interface Science, Vol. 169, pp. 48-59, 1985 /
	TechNote #205, Covalent Coupling, Bangs Laboratories, Inc., #002, 1999 /

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.